

**REMARKS**

In the present response, claims 7 and 20 have been amended. The amendments are provided to more clearly recite the features of the claims previously presented. Claim 19 has been cancelled, without prejudice. These amendments, however, should not be construed in any way as acquiescence to any of the rejections, but rather made solely to expedite prosecution of the instant application. Applicant reserves the option to further prosecute the same or a similar subject matter in the instant or a subsequent application. Upon entry of these amendments, claims 1-18, 20-33 and 35-43 remain as the claims pending in the present application. Applicant requests reconsideration in view of the foregoing changes and the following remarks.

The Examiner rejected claims 1-33 and 35-43 under 35 U.S.C. § 103(a) as being unpatentable over Schumacher et al. (U.S. Patent 6,269,446), in view of Mike Heck (ref. U of Paper No. 10), referred to hereafter as Heck, and further in view of Ginter et al. (U.S. Patents 6,389,402 and 6,253,193) and Dana Mackenzie (ref. U, Page 1 of Paper No. 7), referred to hereafter as Mackenzie. It is noted that the Examiner has not specifically identified the Heck, Ginter et al., and Mackenzie references. Applicant's remarks are based on the Heck reference of Paper No. 10, and the Ginter et al. and Mackenzie references of Paper No. 7. The rejections are respectfully traversed in view of the remarks and amendments.

In response to Applicant's arguments, as presented in Applicant's response to the Office action of November 12, 2002, the Examiner submitted that Applicant's arguments were directed to different intended uses that would be obvious applications of an electronic document for one of ordinary skill in the art. However, Applicant submits that the limitations recited in the claims do not merely recite intended uses. Rather, the method information of the first portion, the entry of the second portion and the security information of the third portion are structural elements of the electronic catalog having the relationships as recited in the claims. As such, the Examiner has failed to show where in the cited references these elements can be found. As provided below, the cited references, alone or in combination, do not teach or suggest an electronic document or catalog having those elements.

With respect to the rejections of claims 1-9 under 35 U.S.C. § 103(a), the Examiner notes that neither Schumacher et al. nor Heck “specify a document having an offer to buy and an offer to sell.” Thus, as noted in Applicant’s previous response, neither Schumacher et al. nor Heck teach or suggest a document having *ordering method information and/or payment method information* in a first portion, *offer to sell and/or offer to buy entries* in a second portion that are *selectable by a user using the method information of the first portion* and security information in a third portion by which the user can verify the content of the first and second portions and can authenticate the source of the document, as recited in claim 1.

The Examiner contends that Ginter et al. obviously suggest a document having these instructions. However, the Examiner has not provided any specific reference to Ginter et al. indicating where the suggestion can be found. Ginter et al. appear to describe systems and methods for secure transaction management and electronic rights protection. The systems and methods of Ginter et al. help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. While the systems and methods of Ginter et al. can track and record purchases and sales, Ginter et al. do not teach or suggest a document for an electronic catalog having a *document disposed on computer-readable medium* having *ordering method information and/or payment method information* in a first portion and *offer to sell and/or offer to buy entries* in a second portion that are *selectable by a user using the method information of the first portion*, as recited in claim 1.

It is respectfully suggested that claim 1 is patentable over Schumacher et al. in view of Heck and in view of Ginter et al. Claims 2-8 are directly or indirectly dependent on claim 1, and are patentable over Schumacher et al. in view of Heck and in view of Ginter et al. at least by their dependency. With specific reference to claim 7, claim 7 has been amended to better recite that the modified document maintains the security information of the integrated, aggregated, assembled and disassembled source documents while providing security information for the modified document, as described at page 23 of the specification. None of the references cited by the Examiner teach or suggest, alone or in combination, a *modified electronic catalog document* having *security information for the elements of the different sources* making up the document and having *additional security information for verification of the modified electronic catalog document*, as recited in claim 7. In addition to being patentable by dependency on claim 1, it is

respectfully suggested that claim 7 is independently patentable over Schumacher et al. in view of Heck and in view of Ginter et al.

Claim 9 recites a computer program to, among other things, *identify ordering and/or payment method information in a header of a catalog and to identify an offer to sell entry and/or an offer to buy entry in a body of the catalog*. For the reasons described above with relation to claim 1, Schumacher et al. Heck, and Ginter et al., alone or in combination, do not teach or suggest a computer program to identify ordering method information, payment method information, an offer to sell entry, or an offer to buy entry in the respective header and body of an electronic catalog. It is respectfully suggested that claim 9 is also patentable over Schumacher et al. in view of Heck and Ginter et al.

Based on paragraphs J, N, and P of the Office Action of July 17, 2003, the Examiner has rejected claims 10 and 14 under 35 U.S.C. § 103(a), as being unpatentable over Mackenzie in view of Heck, and has rejected claim 16 under 35 U.S.C. § 103(a), as being unpatentable over Heck in view of Mackenzie. As noted by the Examiner, Heck does not specify a document having an offer to buy and an offer to sell. Mackenzie describes the use of extensible markup language (XML) to tag data items on web pages. Mackenzie and Heck, alone or in combination, do not teach or suggest an electronic document including method information, an offer to buy entry, or an offer to sell entry, as recited in each of claims 10, 14 and 16. For the reasons above and as provided in Applicant's response of 2/19/2003, it is respectfully suggested that claims 10 and 14 are patentable over Mackenzie in view of Heck, and that claim 16 is patentable over Heck in view of Mackenzie.

With specific reference to claim 14, neither Heck nor Mackenzie teach or suggest enclosing one or more source documents within a compound document. As cited by the Examiner, the CML described in Mackenzie can meld information from separate databases into a "seamless" document. It is respectfully suggested that the melding contemplated in Mackenzie is not comparable to Applicant's enclosing a source document in a compound document. The meanings of the words "meld" and "enclose", as found in standard dictionary references, illustrate the difference. As used in Mackenzie and as found in standard dictionary references, meld has a meaning of merge, or to mix together, as in merging data. Based on standard

dictionary references and as used by Applicant, enclose has a meaning of surround or contain, as in a letter enclosed in an envelope. Further, Heck does not teach or suggest enclosing one document in another. Thus in addition to the above, it is respectfully suggested that claim 14 is patentable over Mackenzie in view of Heck. Claims 11-13, 15, 17 and 18 depend directly or indirectly from respective claims 10, 14 and 16, and are deemed patentable over Mackenzie in view of Heck at least by their dependency.

Based on paragraph T of the Office Action of July 17, 2003, the Examiner has rejected claim 20 under 35 U.S.C. § 103(a), as being unpatentable over Mackenzie. With respect to the rejection of claims 20-33 and 35-43 under 35 U.S.C. § 103(a), independent claim 20 recites a computer-based method for an electronic catalog having a header section with *ordering and/or payment method information* in the header of the catalog and *an offer to sell entry and/or an offer to buy entry* in the body of the catalog. For the reasons described above with relation to claims 1, 9, 10, 14 and 16, Mackenzie does not teach or suggest a computer-based method providing *sourcing information and ordering and/or payment method information* in a header and *object entries for sale and/or purchase by the source* in a body section and *responding to requests for verification and selection of objects for purchase and/or sale by a user in accordance with the ordering and/or payment method information*, as recited in claim 20.

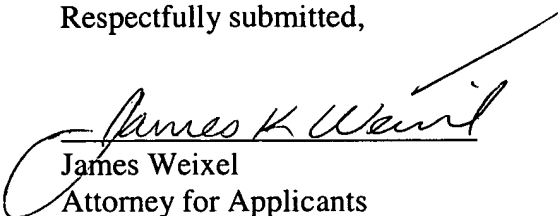
Though not referenced by the Examiner in the rejection of claim 20, Applicant notes that Schumacher et al., Heck, or Ginter et al., alone or in combination, do not teach or suggest the method as recited in claim 20. It is respectfully suggested that claim 20 is patentable over Mackenzie. Claims 21-33 and 35-43 depend directly or indirectly from claim 20 and are patentable over Mackenzie at least by their dependency, and to the extent referenced, are patentable over Mackenzie in view of Schumacher et al., Heck, nor Ginter et al.

### **Conclusion**

In view of the foregoing amendments and remarks, Applicant submits that the pending claims are patentable over the cited prior art. Accordingly, Applicant submits that the claims are now in condition for allowance. Withdrawal of the pending rejections, and early and favorable reconsideration are respectfully solicited. If there are any remaining issues or the Examiner

believes that a telephone conversation with Applicant's attorney would be helpful in expediting the prosecution of this application, the Examiner is invited to call the undersigned at (78) 466-2220.

Respectfully submitted,

  
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